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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/645,645	08/22/2003	Chandra Mouli	M4065.0674/P674	8786
45374 7590 03/30/2011 DICKSTEIN SHAPIRO LLP 1825 EYE STREET, NW WASHINGTON, DC 20006				
EXAMINER MATTHEWS, COLLEEN ANN				
ART UNIT		PAPER NUMBER		
2811				
MAIL DATE		DELIVERY MODE		
03/30/2011		PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/645,645

Applicant(s)

MOULI, CHANDRA

Examiner

Colleen A. Matthews

Art Unit

2811

Period for Reply -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 03 January 2011.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-3,5-13,15-37 and 55 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☐ Claim(s) 1-3,5,6,8-13,15-22,25-32,35-37 and 55 is/are rejected.
- 7) ☒ Claim(s) 7,23,24,33 and 34 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date: _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 1-3, 5-6, 8, 9 and 11-12 are rejected under 35 U.S.C. 102(e) as being anticipated by U.S. Pub. No. 2002/0171077 to Chu et al. (Chu).

Re Claim 1: Chu discloses a pixel cell for an image sensor, the pixel cell comprising:

a photodiode (Fig 4A, area corresponding to electrodes 11) for generating charge in response to light and for amplifying the generated charge, the photodiode being formed within a substrate (1) and below an upper surface thereof and comprising at least two of a first layer (35) having a first band gap (band gap corresponding to material of $\text{Si}_{1-x}\text{Ge}_x$) and at least two of a second layer (36) having a second band gap (band gap corresponding to material of $\text{Si}_{1-z}\text{Ge}_z$),

wherein the first layers are alternated with the second layers, wherein the first layers are not in direct contact with one another and the second layers are not in direct contact with one another, and

wherein the at least two first layers and the at least two second layers are configured to promote ionization by a first carrier type and suppress ionization by a second carrier type in the presence of an electric field (band gap energies are shown in Fig 4B and would create ionization and suppression of carriers)

a gate (12) of a transistor (15) adjacent to the photodiode, the transistor for transferring the amplified charge from the photodiode; and

a graded buffer layer (2) beneath a bottom layer of the photodiode.

Re claim 2-3: Chu discloses the pixel cell as above wherein a difference between the conduction band energies of the first and at least second layer is greater than a difference between the valence band energies of the first and at least second layer and such that a difference between the valence band energies of the first and at least second layer is greater than a difference between the conduction band energies of the first and at least second layer (see Fig 4B).

Re claims 5-6: Chu discloses the pixel cell as above wherein the at least two first layers and the at least two second layers are formed of a material selected from the group consisting of Si, $\text{Si}_x\text{Ge}_{1-x}$, $\text{Si}_x\text{Ge}_{1-x}\text{C}_y$, GaAs, GaAlAs, InP, InGaAs, or InGaAsP and wherein the first layer is Si and the second layer is SiGe ($\text{Si}_x\text{Ge}_{1-x}$ and $\text{Si}_{1-z}\text{Ge}_z$ ¶0039).

Re Claim 8: Chu discloses the pixel cell as above wherein the photodiode comprises at least four layers of Si and at least four layers of SiGe, wherein the layers of Si are alternated with the layers of SiGe to form an Si/SiGe structure,

wherein at least a first subset of layers (9) is doped to a first conductivity type (n-type), wherein at least a second subset of layers (5-6) is doped to a second conductivity type (p-type), and where the first conductivity type is different than the second conductivity type (§0035)

Re claim 9: Chu discloses the pixel cell as above wherein the first layer is $\text{Si}_x\text{Ge}_{1-x}$ and the second layer $\text{Si}_y\text{Ge}_{1-y}$ ($\text{Si}_x\text{Ge}_{1-x}$ and $\text{Si}_{1-z}\text{Ge}_z$ §0039).

Regarding claim 11, Chu discloses the pixel cell of claim 1 where at least a portion of the photodiode is at a level below a level of a top surface of the substrate (see Fig 4A).

Re claim 12, Chu discloses the pixel cell as above, wherein the photodiode comprises approximately 10 to approximately 100 layers (8 shown in Fig 4A and 8 is considered as approximately 10).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 10, 13 and 55 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Pub. No. 2002/0171077 to Chu et al. (Chu) in view of U.S. Pat. No. 5,818,322 to Tasumi.

Regarding claims 10, Chu discloses the pixel cell as above. Chu fails to disclose where the first layer is $\text{Si}_x\text{Ge}_{1-x}\text{C}_y$ and the second layer is $\text{Si}_x\text{Ge}_y\text{C}_z$. Tasumi discloses the pixel cell where the first layer is $\text{Si}_x\text{Ge}_{1-x}\text{C}_y$ and the second layer is $\text{Si}_x\text{Ge}_y\text{C}_z$ (col 3 line 63). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have the first layer as $\text{Si}_x\text{Ge}_{1-x}\text{C}_y$ and the second layer as $\text{Si}_x\text{Ge}_y\text{C}_z$ as in Tasumi in order to improve device characteristics, such as enhancing absorption and speed of the image sensor and reducing dark current.

Regarding claim 13, Chu discloses the pixel cell as above. Chu fails to disclose wherein each of the layers have a thickness of approximately 50 – 300 angstroms. Tasumi discloses wherein each of the layers have a thickness of approximately 50- 300 angstroms (50 angstroms, col 6 line 24). It would have been obvious to one of ordinary skill in the art at the time the invention was made to use the thickness of the layers between 50 – 300 angstroms as in Tasumi in order to maximize the performance of the device such as reducing dark current.

Re Claim 55: Chu discloses a pixel cell for an image sensor, the pixel cell comprising:

a photodiode (Fig 4A, area corresponding to electrodes 11) for generating charge in response to light and for amplifying the generated charge, the photodiode being formed within a substrate (1) and below an upper surface thereof and comprising at least two of a first layer (35) having a first band gap (band gap corresponding to material of $\text{Si}_{1-x}\text{Ge}_x$) and at least two of a second layer (36) having a second band gap (band gap corresponding to material of $\text{Si}_{1-z}\text{Ge}_z$),

wherein the first layers are alternated with the second layers, wherein the first layers are not in direct contact with one another and the second layers are not in direct contact with one another, and

wherein the at least two first layers and the at least two second layers are configured to promote ionization by a first carrier type and suppress ionization by a second carrier type in the presence of an electric field (band gap energies are shown in Fig 4B and would create ionization and suppression of carriers)

a gate (12) of a transistor (15) adjacent to the photodiode, the transistor for transferring the amplified charge from the photodiode.

Chu fails to disclose the photodiode being formed within a trench in the substrate. Tasumi teaches a photodiode in a trench (4) within a substrate (1). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Chu to include the trench as taught by Tasumi in order to improve efficiency of the photodiode (see Tasumi abstract).

Claim 15-22, 25, 27-32, 35-37 and 55 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Pub. No. 2002/0171077 to Chu et al. (Chu) in further view of U.S. Pat. No. 6,232,626 to Rhodes.

Regarding claims 15, 16 and 17, discloses the pixel cell of claim 1. Chu fails to disclose where there is a reset transistor for resetting the photodiode to a predetermined voltage, a floating diffusion region, where the transistor is a transfer transistor for transferring charge from the photodiode to the floating diffusion region and

where the photodiode is part of a CMOS image sensor. Rhodes teaches a photodiode (Fig 5, 24, for example) where there is a reset transistor (31) for resetting the photodiode to a predetermined voltage, a floating diffusion region (30), where the transistor is a transfer transistor (28) for transferring charge from the photodiode to the floating diffusion region and where the photodiode is part of a CMOS image sensor (see Abstract). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Chu to include the features of Rhodes to provide a fully operational low cost device.

Regarding claim 18, Chu discloses the pixel cell of claim 1. Chu fails to disclose where the photodiode is part of a charge coupled device image sensor. Rhodes teaches using photodiodes as part of a charge coupled device image sensor (see Col 1). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Chu to have a silicon-on-insulator substrate like Rhodes to provide for image acquisition for small sized imaging applications.

Regarding claim 19, Chu discloses the pixel cell of claim 1. Chu fails to disclose the substrate as silicon-on-insulator. Rhodes discloses a pixel cell where the substrate is a silicon-on-insulator substrate (col 6 lines 46-50). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Chu to have a silicon-on-insulator substrate like Rhodes to improve device isolation between devices on the substrate.

Re Claim 20: Chu discloses a pixel cell for an image sensor, the pixel cell comprising:

a photodiode (Fig 4A, area corresponding to electrodes 11) formed within a substrate (1) and below an upper surface thereof,

the photodiode comprising at least two of a first layer (35) comprising a first material ($\text{Si}_{1-x}\text{Ge}_x$) and at least two of a second layer (36) comprising a second material ($\text{Si}_{1-z}\text{Ge}_z$),

wherein the first layers are not in direct contact with one another and the second layers are not in direct contact with one another, and

wherein the layers are configured such that a difference between the conduction band energies of the first and second materials and a difference between the valance band energies of the first and second materials promotes ionization by a first carrier type and suppress ionization by a second carrier type in the presence of an electric field (band gap energies are shown in Fig 4B and would create ionization and suppression of carriers) and wherein the first layers are alternated with the second layers;

a gate (12) of a transistor (15) adjacent to the photodiode, the transistor for transferring the amplified charge from the photodiode; and

a graded buffer layer (2) beneath a bottom layer of the photodiode.

Chu fails to disclose an array of pixel cells at a surface of a substrate, wherein at least one of the pixel cells comprises the photodiode. Rhodes teaches an array of pixel cells at a surface of a substrate, wherein at least one of the pixel cells comprises the photodiode. It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Chu to include the features of Rhodes to provide a fully operational low cost device.

Re claims 21-22: Chu discloses the pixel cell as above wherein the at least two first layers and the at least two second layers are formed of a material selected from the group consisting of Si, $\text{Si}_x\text{Ge}_{1-x}$, $\text{Si}_x\text{Ge}_{1-x}\text{C}_y$, GaAs, GaAlAs, InP, InGaAs, or InGaAsP and wherein the first layer is Si and the second layer is SiGe ($\text{Si}_x\text{Ge}_{1-x}$ and $\text{Si}_{1-z}\text{Ge}_z$ ¶0039).

Re claim 25: Chu discloses the pixel cell as above wherein the first layer is $\text{Si}_x\text{Ge}_{1-x}$ and the second layer $\text{Si}_y\text{Ge}_{1-y}$ ($\text{Si}_x\text{Ge}_{1-x}$ and $\text{Si}_{1-z}\text{Ge}_z$ ¶0039).

Re claim 27, Chu discloses the pixel cell as above, wherein the photodiode comprises approximately 10 to approximately 100 layers (8 shown in Fig 4A and 8 is considered as approximately 10).

Regarding claims 28-29, Chu as modified by Rhodes discloses the pixel cell of claim 20. Chu fails to disclose where there is a reset transistor for resetting the photodiode to a predetermined voltage, a floating diffusion region, where the transistor is a transfer transistor for transferring charge from the photodiode to the floating diffusion region. Rhodes further teaches a photodiode (Fig 5, 24, for example) where there is a reset transistor (31) for resetting the photodiode to a predetermined voltage, a floating diffusion region (30), where the transistor is a transfer transistor (28) for transferring charge from the photodiode to the floating diffusion region. It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Chu to include the features of Rhodes to provide a fully operational low cost device.

Regarding claims 30-31, Chu as modified by Rhodes discloses the pixel cell of claim 20. Chu fails to disclose the pixel cell further comprises readout circuitry connected to a floating diffusion region for reading out charge and further comprising circuitry peripheral to the array, the peripheral circuitry being at a surface of the substrate wherein the substrate is silicon-on-insulator substrate. The modification of Rhodes further teaches the pixel cell further comprises readout circuitry (36, 38) connected to a floating diffusion region (30) for reading out charge and further comprising circuitry peripheral to the array, the peripheral circuitry being at a surface of the substrate wherein the substrate is silicon-on-insulator substrate (col 6 lines 46-50). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Chu to include the readout circuitry and have a silicon-on-insulator substrate like Rhodes to provide a fully operational low cost device and to improve device isolation between devices on the substrate.

Re Claim 32: Chu discloses a pixel cell for an image sensor, the pixel cell comprising:

a photodiode (Fig 4A, area corresponding to electrodes 11) formed below an upper surface of a substrate (1),

the photodiode comprising at least two layers of Si (35, $\text{Si}_{1-x}\text{Ge}_x$) alternating with at least two layers of $\text{Si}_{1-x}\text{Ge}_x$ (36, $\text{Si}_{1-z}\text{Ge}_z$),

wherein the Si layers are not in direct contact with one another and the $\text{Si}_{1-x}\text{Ge}_x$ layers are not in direct contact with one another, and

wherein the layers are configured to promote ionization by a first carrier type and suppress ionization by a second carrier type in the presence of an electric field (band gap energies are shown in Fig 4B and would create ionization and suppression of carriers) and wherein the first layers are alternated with the second layers;

a gate (12) of a transistor (15) adjacent to the photodiode, the transistor for transferring the amplified charge from the photodiode; and

a graded buffer layer (2) beneath a bottom layer of the photodiode.

Chu fails to disclose an array of pixel cells wherein at least one of the pixel cells comprises the photodiode. Rhodes teaches an array of pixel cells at a surface of a substrate, wherein at least one of the pixel cells comprises the photodiode. It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Chu to include the features of Rhodes to provide a fully operational low cost device.

Re Claim 35: Chu discloses a pixel cell for an image sensor, the pixel cell comprising:

a photodiode (Fig 4A, area corresponding to electrodes 11) formed below an upper surface of a substrate (1),

the photodiode comprising at least two layers of Si (35, $\text{Si}_{1-x}\text{Ge}_x$) alternating with at least two layers of $\text{Si}_{1-x}\text{Ge}_x$ (36, $\text{Si}_{1-z}\text{Ge}_z$),

wherein the Si layers are not in direct contact with one another and the $\text{Si}_{1-x}\text{Ge}_x$ layers are not in direct contact with one another, and

wherein the layers are configured to promote ionization by a first carrier type and suppress ionization by a second carrier type in the presence of an electric field (band gap energies are shown in Fig 4B and would create ionization and suppression of carriers) and wherein the first layers are alternated with the second layers;

a gate (12) of a transistor (15) adjacent to the photodiode, the transistor for transferring the amplified charge from the photodiode; and

a graded buffer layer (2) beneath a bottom layer of the photodiode.

Chu fails to disclose a processor, an image sensor coupled to the processor, the image sensor comprising an array of pixel cells wherein at least one of the pixel cells comprises the photodiode and a floating diffusion region electrically connected to the transistor and readout circuitry electrically connected to the floating diffusion region. Rhodes teaches a processor (see Fig 14), an image sensor coupled to the processor, the image sensor (See Fig 14) comprising an array of pixel cells (see Fig 2) wherein at least one of the pixel cells comprises the photodiode (See Fig 5, 24) and a floating diffusion region (see Fig 5, 30) electrically connected to the transistor (28) and readout circuitry (36, 38) electrically connected to the floating diffusion region. It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Chu to include the features of Rhodes to provide a fully operational low cost device.

Re claim 36-37: Chu as modified discloses the pixel cell as above. Chu further discloses wherein a difference between the conduction band energies of the first and at least second layer is greater than a difference between the valence band energies of

the first and at least second layer and such that a difference between the valence band energies of the first and at least second layer is greater than a difference between the conduction band energies of the first and at least second layer (see Fig 4B).

Re Claim 55: Chu discloses a pixel cell for an image sensor, the pixel cell comprising:

a photodiode (Fig 4A, area corresponding to electrodes 11) for generating charge in response to light and for amplifying the generated charge, the photodiode being formed within a substrate (1) and below an upper surface thereof and comprising at least two of a first layer (35) having a first band gap (band gap corresponding to material of $\text{Si}_{1-x}\text{Ge}_x$) and at least two of a second layer (36) having a second band gap (band gap corresponding to material of $\text{Si}_{1-z}\text{Ge}_z$),

wherein the first layers are alternated with the second layers, wherein the first layers are not in direct contact with one another and the second layers are not in direct contact with one another, and

wherein the at least two first layers and the at least two second layers are configured to promote ionization by a first carrier type and suppress ionization by a second carrier type in the presence of an electric field (band gap energies are shown in Fig 4B and would create ionization and suppression of carriers)

a gate (12) of a transistor (15) adjacent to the photodiode, the transistor for transferring the amplified charge from the photodiode.

Chu fails to disclose the photodiode being formed within a trench in the substrate. Rhodes teaches a photodiode (Fig 5, 24) in a trench within a substrate. It

would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Chu to include the trench as taught by Rhodes in order to improve efficiency of the photodiode (see Rhodes col 10 lines 12-30).

Claims 26 and 33-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Pub. No. 2002/0171077 to Chu et al. (Chu) in view of U.S. Pat. No. 6,232,626 to Rhodes and U.S. Pat. No. 5,818,322 to Tasumi.

Regarding claims 26, Chu as modified discloses the pixel cell as above. Chu fails to disclose where the first layer is $\text{Si}_x\text{Ge}_{1-x}\text{C}_y$ and the second layer is $\text{Si}_x\text{Ge}_y\text{C}_z$. Tasumi discloses the pixel cell where the first layer is $\text{Si}_x\text{Ge}_{1-x}\text{C}_y$ and the second layer is $\text{Si}_x\text{Ge}_y\text{C}_z$ (col 3 line 63). It would have been obvious to one of ordinary skill in the art at the time the invention was made to have the first layer as $\text{Si}_x\text{Ge}_{1-x}\text{C}_y$ and the second layer as $\text{Si}_x\text{Ge}_y\text{C}_z$ as in Tasumi in order to improve device characteristics, such as enhancing absorption and speed of the image sensor and reducing dark current.

Allowable Subject Matter

Claims 7, 23-24 and 33-34 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

The following is a statement of reasons for the indication of allowable subject matter:

The prior art fails to anticipate or render obvious the claimed limitations including

Re Claim 7: The prior art fails to anticipate or render obvious the claimed limitations including: "wherein the first layers are not in direct contact with one another and the second layers are not in direct contact with one another" in combination with "a graded buffer layer beneath a bottom layer of the photodiode" and in combination with "wherein the layers of Si are doped to a first conductivity type, wherein the layers of SiGe are doped to a second conductivity type, and wherein the first conductivity type is different from the second conductivity type"

Re Claim 23: The prior art fails to anticipate or render obvious the claimed limitations including: "wherein the first layers are not in direct contact with one another and the second layers are not in direct contact with one another" in combination with "wherein the layers of Si are doped to a first conductivity type and wherein the layers of SiGe are doped to a second conductivity type, and wherein the first conductivity type is different from the second conductivity type"

Re Claim 24: The prior art fails to anticipate or render obvious the claimed limitations including: "wherein the first layers are not in direct contact with one another and the second layers are not in direct contact with one another" in combination with "at least a first subset comprising two layers of Si and two layers of SiGe is doped to a first conductivity type, and wherein at least a second subset comprising two layers of Si and two layers of SiGe is doped to a second conductivity type, and wherein the first conductivity type is different from the second conductivity type"

Re Claim 33: The prior art fails to anticipate or render obvious the claimed limitations including: "wherein the first layers are not in direct contact with one another

and the second layers are not in direct contact with one another" in combination with "wherein the layers of Si are doped to a first conductivity type, wherein the layers of $\text{Si}_x\text{Ge}_{1-x}$ are doped to a second conductivity type, and wherein the first conductivity type is different from the second conductivity type"

Re Claim 34: The prior art fails to anticipate or render obvious the claimed limitations including: "wherein the first layers are not in direct contact with one another and the second layers are not in direct contact with one another" in combination with "wherein first and at least second subsets of the layers are doped to first and second conductivity types, respectively, and wherein the first conductivity type is different than the second conductivity type"

Response to Arguments

Applicant's arguments filed 01/03/2011 have been fully considered but they are not persuasive.

Applicant argues (Remarks Page 10-11) that the Office Action mischaracterizes the elements of Chu. Layers 35-36 are not part of Chu's metal-oxide-metal photodetector.

"Layers 35 and 36 are not part of Chu's metal-oxide-metal photodetector. Instead, Chu's photodetector includes the electrodes 11 that sit on the surface of the absorbing layer 3 (or 37 in the case of the FIG. 4A embodiment). The electrodes 11 are configured "such that a bias applied between adjacent electrodes, as shown in FIG. 1E, creates an electric field that penetrates into the underlying absorbing layer 3. Light or radiant 14 energy incident from or passing through surface 8 creates free carriers, electrons 15 and holes 16, in layer 3 that travel to electrodes 11, creating a current signal that is proportional to the power of the incident light." Chu at [0036]"

In response, the Examiner notes Figure 1E is a different embodiment of Chu which does not include the relaxed buffer Layer 38. Figure 4A-4B is the embodiment

relied up for the rejection presented above. The Examiner disagrees with the characterization that the buffer layer is not a part of the photodetector. The buffer layer 38, as shown in Fig 4A, is further a sensitive absorbing medium and is used specifically because of enhanced sensitivity compared to bulk Si. Chu at [0015]. And further the layer allows for enhanced absorption of radiation. Chu at [0039]. And greater photoabsorption in the symmetric superlattice (layers 35/36). Chu at [0039]

Applicant further argues (Remarks Page 11) that the Office Action mischaracterizes the elements of Chu:

"Further, Chu states that the layer 38 (made up of layers 35 and 36) should be configured "so that photogenerated carriers are not trapped in potential wells formed by strained layer 35 and 36." Chu at [0039]. According to Chu, this result is achieved by having a smooth potential profile as shown in FIG. 4B, rather than an abrupt one. Thus, contrary to the Office Action's contention, Chu's layers 35 and 36 are not part of Chu's MSM photodetector.

In response, the Examiner disagrees. Chu at [0039] further describes layers 35 and 36 as "enhances the absorption of radiation." The recitation argued by Applicant doesn't preclude layers 35 and 36 from being used in the photodetector; the carriers not being trapped allows for the carriers to provide "greater photoabsorption in the symmetric superlattice" as also recited Chu at [0039]. The Examiner maintains that Chu teaches the claimed limitations of a photodiode as presented in the rejection above.

Applicant further argues (Remarks Page 11) that the Office Action mischaracterizes the elements of Chu:

Nothing in Chu teaches or suggests these limitations of claim 1. In fact, according to Chu, it is the transistor 18 that creates an amplified signal, not the MSM photodetector. Chu at [0037].

In response, the Examiner notes that the transistor creating an amplified signal does not compromise the fact that Chu further teaches the photodetector including the claimed limitations as presented in the rejection above.

Additionally, the Examiner notes the term "photodiode" is given the broadest reasonable interpretation as consistent with MPEP 2106, *USPTO personnel are to give claims their broadest reasonable interpretation in light of the supporting disclosure. In re Morris*, 127 F.3d 1048, 1054-55, 44 USPQ2d 1023, 1027-28 (Fed. Cir. 1997).

Applicant's specification defines, for example, in paragraph [0013] the photodiode as:

The pixel cell of the present invention includes a photodiode which is formed having a heterostructure. The heterostructure comprises layers of a first material having a first band gap and at least a second material having a second band gap in contact with one another. The layers of the photodiode may be formed of a variety of materials, such as Si, Si_xGe_{1-x}, Si_xGe_{1-x}C_y, and Group III-V materials, for example, GaAs, GaAlAs, InP, InGaAs, or InGaAsP. The layers may be formed by techniques such as epitaxy, chemical vapor deposition, atomic layer deposition, and/or implantation.

Chu's photodetector includes the claimed features of the heterostructure, as noted in the rejection presented above, and thus satisfies the claimed limitations.

Applicant argues (Remarks, Page 12) that regarding claims 7 and 8 (as amended), Tasumi also fails to teach that the first and second conductivity types are different.

In response the Examiner agrees regarding claim 7. However in claim 8 the recitation of "at least a first subset of layers" and "at least a second subset of layers" is not claimed in a matter to link the recitations to the earlier recited "layers" as claimed. Thus the "at least a first subset of layers" and "at least a second subset of layers" may

be considered as any "at least a first subset of layers" and "at least a second subset of layers" present anywhere in the pixel cell and are not limited to an interpretation as corresponding to the photodiode first and second layers.

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Colleen A. Matthews whose telephone number is (571)272-1667. The examiner can normally be reached on Monday - Friday 8AM-4:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lynne Gurley can be reached on 571-272-1670. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Colleen A Matthews/
Examiner, Art Unit 2811